

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q79683

KADOWAKI, Etsuko, et al.

Appln. No.: Not yet assigned

Confirmation No.: Not yet assigned

Group Art Unit: Not yet assigned

Filed: March 31, 2004

Examiner: Not yet assigned

For: CATALYST FOR USE IN PRODUCING LOWER ALIPHATIC CARBOXYLIC ACID ESTER, PROCESS FOR PRODUCING THE CATALYST, AND PROCESS FOR PRODUCING LOWER ALIPHATIC CARBOXYLIC ACID ESTER USING THE CATALYST

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form which are all the references of record in parent application No. 09/958,271. Applicant is not submitting duplicate copies of these references but requests that they be listed on the face of any patent granted on the above application. (See 37 CFR §1.98(d)). Applicants note that the listed U.S. 2003/0135069 Patent Application Publication was not specifically cited in the parent application, but corresponds to copending Application No. 09/958096 which the Examiner did cite in the parent application. Applicants

INFORMATION DISCLOSURE STATEMENT
U.S. Appln. No.: Not yet assigned

believe it is not necessary to submit a copy of the copending application, but will do so if the Examiner requires that a copy be submitted.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

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Substitute for Form 1449 A & B/PTO		<i>Complete if Known</i>	
<u>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</u>		Application Number	Not yet assigned
		Confirmation Number	Not yet assigned
		Filing Date	March 31, 2004
		First Named Inventor	KADOWAKI, Etsuko
		Art Unit	Not yet assigned
		Examiner Name	Not yet assigned
Sheet	1	of	1
		Attorney Docket Number	Q79683

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code ² (if known)		
		US 6133386		10-17-2000	Morrell et al
		US 2003/0032837		02-13-2003	Kadowaki et al
		US 5070061		12-03-1991	Langerbeins
		US 5714429		02-03-1998	Haining
		US 6040262		03-21-2000	Fouret et al
		US 6072090		06-06-2000	Cockman et al
		US 4061673		12-06-1977	Onoda et al
		US 4966877		10-30-1990	Langerbeins et al
		US 5629459		05-13-1997	Atkins
		US 5684216		11-04-1997	Haining
		US 2003/0135069		07-17-2003	Fujita et al

FOREIGN PATENT DOCUMENTS

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶
		Database WPI, XP002194508, abstracting J 03-012059 of February 2, 2000	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to indicate here if English language Translation is attached.